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EV 463 356 735 US

Serial No.

10/750,128

Applicant(s)

WANG et al.

Filing Date

December 31, 2003

Title:

Methods and Systems for Regulating Resource Usage

Examiner

Not Yet Assigned

Group Art Unit

2155

Type of Document(s)

Express Mail Certificate;

Transmittal Form;

Fee Transmittal for FY 2004 (in duplicate);

Assignment (2 pages);

Recordation Form Cover Sheet;

Power of Attorney To Prosecute Applications Before

The USPTO;

Statement Under 37 CFR 3.73(b);

Check #371717 for \$40 Recordation Fee; and

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Date Mailed: September 21, 2004

| PTO/SB/21 (04-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. | | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|-------------------------------------|--------------------------------------------------------------------------------|--|--|
| TRANSMITTAL FORM | ··· | Application Number | 10/750,128 | | |
| TRANSMITTAL | | Filing Date | December 31, 2003 | | |
| FORM | | First Named Inventor | WANG et al. | | |
| (to be used for all correspondence after initi | al filing) | Art Unit | 2155 | | |
| | | Examiner Name | Not Yet Assigned | | |
| Total Number of Pages in This Submission | | Attorney Docket Number | GP-175-26-US | | |
| | ENCLO | SURES (check all that apply) | | | |
| Fee Transmittal Form | ☐ Drawin | | After Allowance Communication to Technology Center (TC) | | |
| ☐ Fee Attached | Licensi | ing-related Papers | Appeal Communication to Board of Appeals and Interferences | | |
| Amendment / Reply | Petition | 1 | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) | | |
| After Final | | n to Convert to a ional Application | Proprietary Information | | |
| Affidavits/declaration(s) | Power of | | Status Letter | | |
| Extension of Time Request | Extension of Time Request | | Other Enclosure(s) (please identify below): | | |
| | Reques | st for Refund | See Remarks Below | | |
| Express Abandonment Request | CD, Nu | umber of CD(s) | | | |
| ☐ Information Disclosure Statement | <u></u> | | | | |
| Certified Copy of Priority Document(s) | Rema | — Form; Assignment (2 | ate No. EV 463 356 735 US; Transmittal 2 pages); Recordation Form Cover Sheet; | | |
| Response to Missing Parts/ Incomplete Application | Statement | Under 37 CFR 3.73(b); and Ret | Prosecute Application Before USPTO; turn Postcard | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | | |
| SIGNA | TURE OF A | APPLICANT, ATTORNEY, O | R AGENT | | |
| Firm or Goran P. Stojkovich, Individual name | or Goran P. Stojkovich, Reg. No. 45,841, Kilpatrick Stockton LLP | | | | |
| Signature Foran Stofhorish Date 09/21/2004 | | | | | |
| Date 09/21/20 | Date 09/21/2004 | | | | |
| C | ERTIFICAT | TE OF TRANSMISSION/MAIL | LING | | |
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Date

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PTO/SB/17 (10-03)
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| SEP 2 | 95 |
| <i>a.</i> | , S. T. |
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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

| \$) | 40 |
|-----|----|

| Complete if Known | | | |
|----------------------|-------------------|---|--|
| Application Number | 10/750,128 | | |
| Filing Date | December 31, 2003 | · | |
| First Named Inventor | WANG et al. | | |
| Examiner Name | Not Yet Assigned | | |
| Art Unit | 2155 | | |
| Attorney Docket No. | GP-175-26-US | | |

| METHOD OF PAYMENT (check all that apply) | | | | FEE CALCULATION (continued) | | | | | |
|---------------------------------------------------------------------------|------------------------------------------------------------|-------------------|------------------------------------------------------|----------------------------------------------|--------------------------------------------------------|--------|-------------------------------------|----------------------------------------------------------------------------|----|
| M Charle Codit cord C Money C Other C Nego | | | 3. A | 3. ADDITIONAL FEES | | | | | |
| ☑ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order | | | Larg | Entity | Small E | Entity | | | |
| ☑ Deposit Account: | | | Fee | Fee | Fee | Fee | | | |
| | | | Code | (\$) | Code | (\$) | Fee Description | Fee Paid | |
| Account 16-1435 | | | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | | |
| Number | | | | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| Deposit | | - | | 1053 | 130 | 1053 | 130 | Non-English specification | |
| Account Name | Kilpatrick Stockton | n LLP | | 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | |
| The Director is au | thorized to: (che | eck all that ap | ply) | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| | litional fee(s) duri | ing the pende | ncy of this application | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| ☐ Charge fee(s) in | | | filing fee | 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| to the above-identil | | CULATION | | 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1. BASIC FI | LING FEE | | | 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| Large Entity S | imall Entity | | | 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| | ee Fee <u>Fe</u> ode (\$) | e Description | Fee Paid | 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| | *** | ility filing fee | 7.001.0.0 | 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| | | esign filing fee | | 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| | | ant filing fee | | 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1004 770 20 | 004 385 Re | eissue filing fee | | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1005 160 20 | 005 80 Pr | ovisional filling | ree | 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | |
| | SUBTOTAL (| 1) | (\$)0 | 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| | | | L. X-7. | 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 2. EXTRA CLAI | M FEES FOR | UTILITY AN | | 1502 | 480 | 2502 | 240 | Design issue fee | |
| | | | ee from Fee | 1503 | 640 | 2503 | 320 | Plant issue fee | |
| Total Claims | -20 ·· = [| | elow Paid Paid 0 | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| Independent | ╡~~~ | | | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17 (q) | |
| Claims | -3 ** = [| × [| = 0 | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| Multiple Dependent Large Entity | Small Entity | × | = 0 | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | 40 |
| Fee Fee Code (\$) | Fee Fee Code (\$) | Fee Descrip | otion | 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1202 18 | 2202 9 | Claims in ex | | 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1201 86 1203 290 | 2201 43 2203 145 | • | t claims in excess of 3 endent claim, if not paid | 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1204 86 | 2204 43 ** Reissue independent claims over original patent | | | 1802 | 900 | 1802 | 900 | Request for expedited examination | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | | | 1 | of a design application Other fee (specify) | | | | | |
| SUBTOTAL (2) (\$) 0 | | | | | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40 | | | | |
| **or number previously paid, if greater; For Reissues, see above | | | | | | | | (7) | |

| SUBMITTED BY Complete (if applicable) | | | | | | |
|---------------------------------------|---------------------|--------------------------------------|--------|-----------|----------------|--|
| Name (Print/Type) | Goran P. Stojkovich | Registration No. (Attorney/Agent) | 45,841 | Telephone | (336) 607-7300 | |
| Signature | Horan Jastorie | 4 | | Date | 09/21/2004 | |

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09-22-04

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PTO/SB/96 (06-04)

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STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: WANG et al. Application No./Patent No.: 10/750,128 Filed/Issue Date: December 31, 2003 Entitled: Methods and Systems For Regulating Resource Usage , a <u>Delaware Corporation</u> (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) states that it is: the assignee of the entire right, title, and interest; or \boxtimes an assignee of less than the entire right, title, and interest The extent (by percentage) of its ownership interest is ____ in the patent application/patent identified above by virtue of either: A.

An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. **OR** B.

A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: 1. From: To: The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. To: The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet. □ Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08] The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. Goran P. Stojkovich 336.607.7300 Printed or Typed Name Telephone Number Attorney for the Assignee

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| i here | by appoint: | | | | | |
| K F | Practitioners essociated with the Customer Number: | 4040 | 00 | | | |
| O. | R | | | | | |
| F | Practitioner(s) named below (if more than ten patent pn | actilioners are to be named. | , then a custome | er number must be used); | | |
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| | ney(s) or agent(s) to represent the undersigned before | the United States Datest St | nd Trademark O | iffice (I (SOTO) in energetion with | | |
| any and | all patent applications assigned only to the undersign | ed according to the USFTO | essignment rec | connection with a strict of the strict of th | | |
| attache | d to this form in accordance with 37 CFR 8.73(b). | | | | | |
| Assignee Name and Address: | | | | | | |
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| | ie inc. Amphitheatre Parkway | | | | | |
| Moun | tain View, CA 94043 | | | | | |
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| A cop | y of this form, together with a statement | under 37 GFR 3.73(b) | (Form PTO | /SB/96 or equivalent) is | | |
| requir | ed to be filed in each application in which | h this form is used. 🧦 | The stateme | nt under 37 CFR 3,79(b) | | |
| | e completed by one of the practitioners a rized to act on behalf of the assignes, and | | | | | |
| | ey isto be filed. | a made facility the E | pprioration in | THE TOTAL OF THE T | | |
| SIGNATURE of Assignee of Record | | | | | | |
| The individual whose signature and title is supplied below is authorized to act on behalf of the assignee | | | | | | |
| Name | Karl Sun | | | | | |
| Signatur | | | Date 5042004 | | | |
| Ne | Data Causal | | Telephone | (850) 622 4000 | | |
| This colla | Patent Counsel | laformshop (a reculined to obtain | n or retain a benef | (650) 623-4000 | | |

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